

01-03-02

DOCKET NO.: 21829/230 (EBC-015)  
 EXPRESS MAIL NO.: EL801321839US

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SEQ

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM  
 (only for new nonprovisional applications under 37 CFR 1.53(b))

U.S. Patent and Trademark Office  
 P.O. Box 2327  
 Box: Patent Application  
 Arlington, VA 22202

JC720 U.S. PTO  
 10/034158  
 12/20/01

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, and Abstract, 70 pages) of:

Inventor : **Zhong-Min Wei**

For : **METHOD OF IMPARTING DROUGHT RESISTANCE TO PLANTS**

*\*\*If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

☒ Continuation [ ] Divisional [ ] Continuation-In-Part (CIP)  
 of prior application Serial No. 09/597,840

Prior application information: Examiner : **To Be Assigned**  
 Art Unit : **To Be Assigned**

Enclosed are:

- ☒ 2 sheets of formal drawings.
- ☐ Signed Combined Declaration and Power of Attorney (\_\_\_\_ pages).
- ☐ Copies of signed Combined Declaration and Power of Attorney (4 pages total) from a prior application (1.63(d) (for continuation/divisional).
- ☐ Signed statement deleting inventor(s) named in prior application (\_\_\_\_ pages) (1.63(d)(2) and 1.33(b)).
- ☐ **Incorporation By Reference:** The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☐ Assignment (\_\_\_\_ pages) of the invention to \_\_\_\_\_.
- ☐ Assignment Transmittal Letter.
- ☐ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.
- ☒ Applicant claims small entity status. (See 37 CFR 1.27.)

JC997 U.S. PTO  
 12/20/01

10034158-122001

- ☐ Preliminary Amendment (\_\_\_ pages) with Appendix (\_\_\_ page).
- ☒ Information Disclosure Statement, form PTO-1449 (12 pages) and 160 references (not attached).
- ☒ **UNSIGNED** Combined Declaration and Power of Attorney (2 pages).
- ☒ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ Sequence Listing (10 pages).
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

The Filing fee has been calculated as shown below:

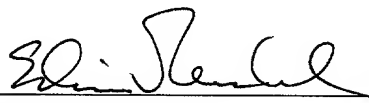
	(Col. 1)	(Col. 2)	SMALL ENTITY			LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE	XXXXXXXX	XXXXXXXX	XXXX	\$370	OR	XXXX	\$740
TOTAL CLAIMS	9 - 20 =	0	x 9 =	\$0	OR	x 18 =	\$
INDEP CLAIMS	1 - 3 =	0	x 40 =	\$0	OR	X80 =	\$
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			x135 =	\$	OR	x270 =	\$
			TOTAL	\$370	OR	TOTAL	\$

\*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2

- ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_. **A duplicate copy of this sheet is enclosed.**
- ☒ A check in the amount of **\$370.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**
- ☒ Address all future communications to:

Michael L. Goldman  
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Rochester, New York 14603

Date: December 20, 2001

  
Edwin V. Merkel  
Registration No. 40,087

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Clinton Square, P.O. Box 31051  
Rochester, New York 14603  
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**EXPRESS MAIL CERTIFICATE**

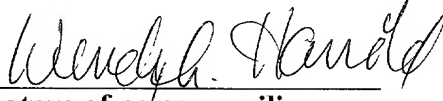
DOCKET NO.: **21829/230 (EBC-015)**  
APPLICANT: **Zhong-Min Wei**  
TITLE: **METHOD OF IMPARTING DROUGHT RESISTANCE TO PLANTS**

Certificate is attached to the **Utility Patent Application Transmittal Letter (2 pages)** of the above-named application.

EXPRESS MAIL NUMBER: **EL801321839US**  
DATE OF DEPOSIT: **December 20, 2001**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the U.S. Patent and Trademark Office, P.O. Box 2327, Box: Patent Application, Arlington, VA 22202.

**Wendy L. Harrold**  
(Typed or printed name of person  
mailing paper or fee)

  
(Signature of person mailing paper  
or fee)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Zhong-Min Wei	)	Examiner:
			)	To Be Assigned
Serial No.	:	Continuation of 09/597,840	)	
			)	Art Unit:
Cnfrm. No.	:	To Be Assigned	)	To Be Assigned
			)	
Filed	:	Herewith	)	
			)	
For	:	METHOD OF IMPARTING DROUGHT	)	
		RESISTANCE TO PLANTS	)	
			)	

STATEMENT IN ACCORDANCE WITH 37 CFR § 1.821(f)


U.S. Patent and Trademark Office  
P.O. Box 2327  
Box: Patent Application  
Arlington, VA 22202

Sir:

In accordance with 37 CFR § 1.821(f), this statement confirms that the contents of the Sequence Listing (10 pages) of the subject application as submitted herewith and on the computer readable 3.5" Diskette submitted herewith are the same, and include no new matter.

Respectfully submitted,

Date: December 20, 2001

  
Edwin V. Merkel  
Registration No. 40,087

NIXON PEABODY LLP  
Clinton Square, P.O. Box 31051  
Rochester, New York 14603  
Telephone: (716) 263-1128  
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**EXPRESS MAIL CERTIFICATE**

DOCKET NO.: 21829/230 (EBC-015)

APPLICANT: Zhong-Min Wei

TITLE: METHOD OF IMPARTING DROUGHT RESISTANCE TO PLANTS

Certificate is attached to the **Statement in Accordance with 37 CFR 1.821(f) and computer readable 3.5" Diskette** of the above-named application.

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Wendy L. Harrold  
(Typed or printed name of person  
mailing paper or fee)

Wendy L. Harrold  
(Signature of person mailing paper  
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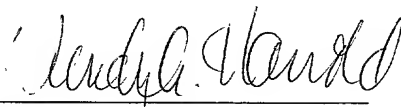
Certificate is attached to the **Patent Application including specification, claims, and abstract (70 pages), UNSIGNED Combined Declaration and Power of Attorney (2 pages), and Sequence Listing (10 pages)** of the above-named application.

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Wendy L. Harrold  
(Typed or printed name of person  
mailing paper or fee)

  
(Signature of person mailing paper  
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**EXPRESS MAIL CERTIFICATE**

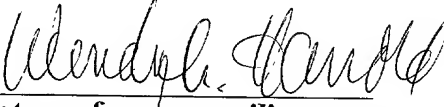
DOCKET NO.: **21829/230 (EBC-015)**  
APPLICANT: **Zhong-Min Wei**  
TITLE: **METHOD OF IMPARTING DROUGHT RESISTANCE TO PLANTS**

Certificate is attached to the copy of the **Formal Drawings (2 pages)** of the above-named application.

EXPRESS MAIL NUMBER: **EL801321839US**  
DATE OF DEPOSIT: **December 20, 2001**

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**Wendy L. Harrold**  
(Typed or printed name of person  
mailing paper or fee)

  
(Signature of person mailing paper  
or fee)